

**SHANTA FIXED INCOME FUND**  
APPLICATION FORM TO PURCHASE UNITS- INDIVIDUAL  
(Please Read Instructions Overleaf; Fill up the Form in Block Letters)

To  
No: \_\_\_\_\_

Chief Executive Officer  
Shanta Asset Management Limited  
The Glass House (Level 13) S.E (B)-2, 38, Gulshan Avenue,  
Gulshan -1, Dhaka -1212.

Registration

Sale No: \_\_\_\_\_

Dear Sir,  
I/We enclose BDT \_\_\_\_\_ (Taka \_\_\_\_\_) vide  
Cheque/P.O./D.D No. \_\_\_\_\_ Bank \_\_\_\_\_ Branch \_\_\_\_\_ and request you to  
sel us/ \_\_\_\_\_ units of Shanta Fixed Income Fund, at the price of BDT \_\_\_\_\_ per unit. I/We am/are giving necessary information  
as follows:

**A. Principal Applicant**

Registration No. (for existing unit holder only) \_\_\_\_\_

Name: \_\_\_\_\_

Father's/Husband's Name \_\_\_\_\_

Mother's Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ Occupation: \_\_\_\_\_

Nationality: \_\_\_\_\_ National ID No \_\_\_\_\_

Present Address: \_\_\_\_\_

Permanent Address: \_\_\_\_\_

BO A/C: \_\_\_\_\_ Telephone/Mobile No \_\_\_\_\_

Email: \_\_\_\_\_ Bank \_\_\_\_\_

Branch \_\_\_\_\_ A/C No: \_\_\_\_\_

Bank Routing No \_\_\_\_\_ ETIN No \_\_\_\_\_

Residency Status: Resident  Non Resident

Dividend option: Cash  CIP  Investment Option: SIP  Non SIP

**B. Joint Applicant**

Name: \_\_\_\_\_

Father's/Husband's Name \_\_\_\_\_

Mother's Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ Occupation: \_\_\_\_\_

Nationality: \_\_\_\_\_ National ID No \_\_\_\_\_

Present Address: \_\_\_\_\_

Permanent Address: \_\_\_\_\_

Telephone/Mobile No \_\_\_\_\_ Email: \_\_\_\_\_

**C. Nominee**

Name: \_\_\_\_\_

Father's/Husband's Name \_\_\_\_\_

Mother's Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ Occupation: \_\_\_\_\_

Nationality: \_\_\_\_\_ National ID No \_\_\_\_\_

Present Address: \_\_\_\_\_

Permanent Address: \_\_\_\_\_

Telephone/Mobile No \_\_\_\_\_ Email: \_\_\_\_\_

**Signature of Applicants**

Principal Applicant

Joint Applicant

Date of Application:

## TERMS AND CONDITIONS

1. The units of Shanta Fixed Income Fund, herein after referred to as the Fund, may be bought/surrendered through Shanta Asset Management Limited (SAML) and authorized selling agents appointed by SAML from time to time.
2. Application must be made by an individual (both resident and non-resident), a corporation or company (both local and foreign), a trust or a society (registered in or outside of Bangladesh) and not by a firm, minor or persons of unsound mind. Applications from the Insurance Companies, Financial or Capital Market Intermediaries or any other Companies must be accompanied by Memorandum and Articles of Association.
3. Joint application by two persons is acceptable. In such a case, registration and issuance of unit allocation confirmation will be in favour of principal applicant while dividend and other benefits, if any, will be addressed to bank account of principal applicant mentioned in the application form. In case of the death of any of the joint holders, only the survivor shall be recognized as having any title to the units. On death of both the joint holders, the title to the units will bestow upon the nominee mentioned in the application form.
4. Minimum purchase quantity for individual investors is 500 (five hundred) units and for institutional investors is 5,000 (Five thousand) units.
5. Application for purchase of units should be accompanied by an account payee cheque/pay order/bank draft/electronic fund transfer/deposit receipt in favour of "SHANTA FIXED INCOME FUND SUBSCRIPTION" for the total value of units.
6. After clearance/encashment of cheque/draft/pay order/receipt of the fund, the applicant will be issued with a Confirmation of Unit Allocation against every purchase of Units he/she/the institutional investor applies for.
7. Application must contain BO account number, full name and address of the applicant and the nominees. Minor can also be a nominee.
8. Partial surrender (fraction of total units held under a Unit Allocation Confirmation) is allowed without any additional cost subject to minimum surrender quantity is 500 (Five Hundred) units for individuals and 5,000 (Five Thousand) units for institutions. Upon partial surrender, the Unit holder will be issued with a new Confirmation of Unit Allocation representing the balance of his/her/their Unit holding.
9. The units may be transferred by way of inheritance/gift and/or by specific operation of the law. In case of transfer the Fund will charge a nominal fee as decided by SAML from time to time except in the case of transfer by way of inheritance.
10. Dividend may be delivered in cash or by way of units under Cumulative Investment Plan (CIP) as the applicant gives option in the application form. The unit holders may change their dividend preference in future as per their need.
11. All payments /receipts in connection with or arising out of transactions in the units hereby applied for shall be in Bangladeshi Taka.

### FOR OFFICIAL USE ONLY

Registration No: \_\_\_\_\_

Sale No: \_\_\_\_\_

Date: \_\_\_\_\_

Unit Allocation Confirmation No: \_\_\_\_\_

No of Units: \_\_\_\_\_

Sign and Seal of Issuing Office

I/we confirm that I/we have received the unit allocation confirmation mentioned above and also that I/we have read, understood and agree to abide by the terms set out above as may be altered, replaced and modified from time to time by Shanta Asset Management Limited.

\_\_\_\_\_  
Principal Applicant

\_\_\_\_\_  
Joint Applicant (If any)