

SHANTA FIXED INCOME FUND
APPLICATION FORM TO PURCHASE UNITS- INSTITUTION
(Please Read Instructions Overleaf; Fill up the Form in Block Letters)

To
No: _____
Chief Executive Officer
Shanta Asset Management Limited
The Glass House (Level 13) S.E (B)-2, 38, Gulshan Avenue,
Gulshan -1, Dhaka -1212.

Registration
Sale No: _____

Dear Sir,

We enclose BDT _____ (Taka _____) vide
Cheque/P.O./D.D No. _____ Bank _____ Branch _____ and request you to
sell us _____ units of Shanta Fixed Income Fund, at the price of BDT _____ per unit. We are giving necessary
information as follows:

Registration no. with Shanta Fixed Income Fund (for existing unit holder only) _____
Name of the Institution _____

Type of institution: Local Company Foreign Company Trust Society Other

Registration No: _____

Address: _____

Telephone No: _____ Fax No: _____ Email: _____

Bank _____ Branch _____

A/C No: _____ Bank Routing No: _____

BO A/C: _____ ETIN No _____

Dividend option: Cash CIP Investment Option: SIP Non SIP

Name of the Managing Director/Chief Executive Officer: _____

Details of Authorized Person(s), if any:

	Name	Designation	Signature
01.	_____	_____	_____
02.	_____	_____	_____



Managing Director/Chief Executive Officer



1st Authorized Person



2nd Authorized Person

Mode of operation: Jointly by _____ Singly by _____

Documents Enclosed:

Incorporation Certificate

Trust Deed

Society Registration certificate

Memorandum and Articles of Association

E-TIN Certificate

Extract of Board resolution

Relevant document if Board resolution is not required

Letter of authority issued in favor of authorized person(s)

Date of application: _____

Seal and Signature of the Authorized Person

TERMS AND CONDITIONS

1. The units of Shanta Fixed Income Fund, herein after referred to as the Fund, may be bought/surrendered through Shanta Asset Management Limited (SAML) and authorized selling agents appointed by SAML from time to time.
2. Application must be made by an individual (both resident and non-resident), a corporation or company (both local and foreign), a trust or a society (registered in or outside of Bangladesh) and not by a firm, minor or persons of unsound mind. Applications from the Insurance Companies, Financial or Capital Market Intermediaries or any other Companies must be accompanied by Memorandum and Articles of Association.
3. Joint application by two persons is acceptable. In such a case, registration and issuance of unit allocation confirmation will be in favour of principal applicant while dividend and other benefits, if any, will be addressed to bank account of principal applicant mentioned in the application form. In case of the death of any of the joint holders, only the survivor shall be recognized as having any title to the units. On death of both the joint holders, the title to the units will bestow upon the nominee mentioned in the application form.
4. Minimum purchase quantity for individual investors is 500 (five hundred) units and for institutional investors is 5,000 (Five thousand) units.
5. Application for purchase of units should be accompanied by an account payee cheque/pay order/bank draft/electronic fund transfer/deposit receipt in favour of "SHANTA FIXED INCOME FUND SUBSCRIPTION" for the total value of units.
6. After clearance/encashment of cheque/draft/pay order/receipt of the fund, the applicant will be issued with a Confirmation of Unit Allocation against every purchase of Units he/she/the institutional investor applies for.
7. Application must contain BO account number, full name and address of the applicant and the nominees. Minor can also be a nominee.
8. Partial surrender (fraction of total units held under a Unit Allocation Confirmation) is allowed without any additional cost subject to minimum surrender quantity is 500 (Five Hundred) units for individuals and 5,000 (Five Thousand) units for institutions. Upon partial surrender, the Unit holder will be issued with a new Confirmation of Unit Allocation representing the balance of his/her/their Unit holding.
9. The units may be transferred by way of inheritance/gift and/or by specific operation of the law. In case of transfer the Fund will charge a nominal fee as decided by SAML from time to time except in the case of transfer by way of inheritance.
10. Dividend may be delivered in cash or by way of units under Cumulative Investment Plan (CIP) as the applicant gives option in the application form. The unit holders may change their dividend preference in future as per their need.
11. All payments /receipts in connection with or arising out of transactions in the units hereby applied for shall be in Bangladeshi Taka.

FOR OFFICIAL USE ONLY

Registration No: _____

Sale No: _____

Date: _____

Unit Allocation Confirmation No: _____

No of Units: _____

Sign and Seal of Issuing Office

We confirm that we have received the unit allocation confirmation mentioned above and also that we have read, understood and agree to abide by the terms set out above as may be altered, replaced and modified from time to time by Shanta Asset Management Limited.

Seal & Signature of the Authorized Person

Date of Application